



IN THE UNITED STATES PATENT OFFICE

#14  
#21/2

**CUSTOMER NO. 23607**

Application Serial No. 09/783,969

Our Ref.: PT-1858001

Applicant: Bernard Charles Sherman

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Title: EMULSION PRECONCENTRATE COMPRISING A  
CYCLOSPORIN AND ACETYLATED MONOGLYCERIDE

Inventor: Bernard Charles Sherman

Due Date: May 16, 2001

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**INFORMATION DISCLOSURE STATEMENT**

May 15, 2001

The Commissioner of Patents  
UNITED STATES PATENT OFFICE  
2011 South Clark Place  
Crystal Plaza 2, Room 1B03  
Arlington, Virginia, U.S.A. 22202

Dear Sir:

Applicants and the undersigned are aware of "patents, publications, or other information" which they believe may be material to the examination of the above-identified application. In respect of the required Information Disclosure Statements (IDS), Applicants enclose a copy of the IDS filed with respect to Patent Application No. 09/403,660 filed October 27, 1999. In accordance with 1.98(d), the documents referred to therein are not included and can be examined in the prosecution file history of Patent Application No. 09/403,660 filed October 27, 1999.

Although this Information Disclosure Statement identifies references which may be "material," it is not intended to constitute an admission that any patent, publication, or other information referred to is "prior art" (within the meaning of 35 U.S.C. §102 and §103) as to the invention disclosed and claimed in this application unless specifically designated as such. Moreover, no representation is intended as to the relative relevance of any portion of the references or as to the relevance among references, whether cited in this Statement or elsewhere.

In accordance with 37 C.F.R. §1.97(b), the filing of this Information Disclosure Statement shall not be construed to mean that a novelty search has been made or that no other information which may be material (as defined in 37 C.F.R. §1.56(a)) exists.

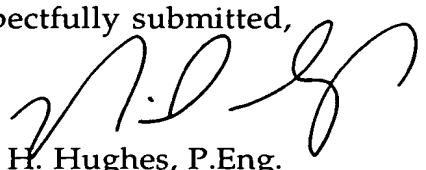
**UNITED STATES PATENT DOCUMENTS**

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4,388,307

**FOREIGN PATENT DOCUMENTS**

2,270,842  
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Respectfully submitted,



Neil H. Hughes, P.Eng.  
Registration #33,636  
Agent for Applicant

NHH:mse  
Enclosures



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION OF

SHERMAN

Atty. Ref.: 2051-32

Serial No.: 09/403,660

Group Art Unit:

Filed: October 27, 1999

Examiner:

For: **EMULSION PRECONCENTRATE COMPRISING A  
CYCLOSPORIN AND ACETYLATED MONOGLYCERIDE**

\* \* \* \* \*

November 22, 1999

INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner of Patents  
and Trademarks  
Washington, DC 20231

Sir:

Attached is Form PTO-1449 listing the enclosed documents.

This IDS is intended to be in full compliance with the rules, but should the Examiner find any part of its required content to have been omitted, prompt notice to that effect is earnestly solicited, along with additional time under Rule 97(f), to enable Applicant to comply fully.

Contingent Request Under Rule 97(c): Should a first action on the merits have been issued on the same day or before this IDS is filed, please accept this IDS under Rule 97(c) and charge the requisite Rule 17(p) fee to our Deposit Account No. 14-1140 (2051-32) and proceed to consider this IDS.

Consideration of the foregoing and enclosures plus the return of a copy of the herewith Form PTO-1449 with the

SHERMAN -- Serial No. J9/403,660

Examiner's initials in the left column per MPEP 609 are earnestly solicited.

Respectfully submitted,

**NIXON & VANDERHYE, P.C.**

By Mary J. Wilson  
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